



\$175

PATENT  
Customer No. 22,852  
Attorney Docket No. 9108.0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
ATSUKO KAWASAKI ET AL. ) Group Art Unit: 2815  
)  
Application No.: 10/607,216 ) Examiner: J. Diaz  
)  
Filed: June 27, 2003 ) Confirmation No.: 5695  
)  
For: METHOD OF PRODUCING )  
SEMICONDUCTOR DEVICES )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign and non-patent literature documents are attached. A copy of the U.S. patent is not enclosed.

04/14/2006 JADD01 00000040 10607216

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, a Notification of Reasons for Rejection mailed November 16, 2004 and Decision of Rejection mailed February 28, 2006, issued by the Japanese Patent Office in a counterpart Japanese application, and English language translations thereof, citing these documents and setting forth the relevance thereof are enclosed.

In addition, Applicants provide an English-language abstract for Japanese Publication No. 9-205140, and note that U.S. Patent No. 6,191,002 B1 corresponds to Japanese Publication No. 11-307626.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: \_\_\_\_\_

4/13/06

By: \_\_\_\_\_

Richard V. Burguljian  
Reg. No. 31,744

Complete if Known

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Application Number

10/607,216

Filing Date

June 27, 2003

First Named Inventor

Atsuko Kawasaki

Art Unit

2815

Examiner Name

J. Diaz

Attorney Docket Number

9108.0002

## **U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-6,191,002 B1	2/20/01	Koyanagi	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**Note:** Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 11-307626	11/5/99	NEC Corporation		
		JP 9-205140	8/5/97	Toshiba Corporation		Abstract

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Decision of Rejection issued by the Japanese Patent Office mailed February 28, 2006, for Japanese Application No. 2002-056799, and English-language translation thereof	
		Notification of Reasons for Rejection issued by the Japanese Patent Office mailed November 16, 2004, for Japanese Application No. 2002-056799, and English-language translation thereof	

Examiner  
SignatureDate  
Considered

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.